

深圳证券交易所市场统计年鉴

SHENZHEN STOCK EXCHANGE FACT BOOK

1998

编辑 深圳证券交易所

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指标说明

1. 本年鉴约定 :成交金额、成交数量以交易的买方或卖方单边计算 ,交易金额、交易数量以交易的买方和卖方双边计算。所以 ,总交易金额等于总成交金额的两倍。

2. 单位 :除非特别说明 ,本年鉴中金额的单位为百万元人民币 ,B 股换算为人民币计算 ,数量的单位为百万股 (张、份) ,国债期货单位为口 ,国债回购单位为手。

3. 统计范围 :在本所上市交易或联网交易的各类证券 ,包括股票(A 股、B 股) ,基金 ,权证(认股权证、A1 权、A2 权、B 权) ,企业债券(含可转换债券) ,国债(现货、回购、期货)。

4. 统计内容 :包括本所上市的各类证券的交易状况和参与者的交易状况 ,会员情况及交易状况等。

5. 统计时期 :1998 年 1 月 1 日至 1998 年 12 月 31 日。为便于对比 ,增加 1991 年至 1997 年的部分相关数据。

6. 数据类型 :证券数目及会员数目、股本、市值、市盈率、股价、指数等为月底或年底的时点数字 ,不具时可加性 ;交易金额、交易数量等为全年或某月的时期数字 ,具有时可加性 ,由相应时期内各交易日的实际数字累加而成。

7. 零股交易 :零股交易已计入当月和当年的交易统计数字中 ,但总交易日数不包括零股交易日数。

8. 舍入误差 :本年鉴数字采用截尾方式计算 ,个别数字采用四舍五入方式计算。由于舍入误差 ,分类数字之和未必等于总额数字。

9. 上市公司数 :其股票在本所上市的公司数目。

10. 上市证券数目 :包括在本所直接挂牌或联网挂牌的各类证券数目。

11. 会员数 :申请成为本所会员的数目。

12. 席位数 :包括本所会员申请的席位及其他非会员申请的特别席位 ,如国债专席 ,B 股境外券商特别席位 ,联网中心特别席位。

13. 发行股本 :指上市公司发行在外的 A 股和 B 股股本 ,不含 H 股股本。

$$\text{总发行股本} = \sum \text{A 股总股本} + \sum \text{B 股总股本}$$

14. 上市面额 指除股票外的其它证券在本所直接或联网挂牌的面额数量。

$$\text{总上市面额} = \sum \text{企业债券面额} + \sum \text{基金单位数} + \sum \text{权证张数}$$

15. 市价总值：

$$\text{股票市价总值} = \sum \text{总发行股本} \times \text{收市价}$$

$$\text{其它证券市值} = \sum \text{上市面额} \times \text{收市价/每张面额}$$

16. 平均市盈率 :只计算股票的平均市盈率。

$$\begin{aligned} \text{平均市盈率} &= \sum \text{股票总市值} / \sum \text{股票总收益} \\ &= \sum (\text{收市价} \times \text{总股本}) / \sum (\text{每股盈利} \times \text{总股本}) \end{aligned}$$

$$17. \text{证券升跌幅} = \left(\frac{\text{本月(年)收市价}}{\text{上月(年)收市价} \times \text{调整因子}} - 1 \right) \times 100\%$$

$$\text{调整因子} = \text{除权参考价} / \text{股权登记日收市价}$$

$$\text{除权参考价} = \frac{\text{股权登记日收市价} - \text{每股股息} + \text{配股价} \times \text{配股率}}{1 + \text{送股率} + \text{配股率}}$$

18. 股价指数 本所编制的综合指数类和成份股指数类。收市采用月(年)底时点数,最高、最低指数皆为收市指数的最高、最低。

19. 交易日数 :分 A 股交易日数 ,B 股交易日数 ,不含零股交易日数。

$$\text{总交易日数} = \text{A 股交易日数及 B 股交易日数中较大者}$$

20. 总成交金额 = 股票成交额 + 基金成交额 + 权证成交额 + 企业债券成交额 + 国债成交额。

$$\text{全年累计数} = \sum \text{全年每日成交额} (1995 \text{ 年前包括周六的零股交易})$$

$$\text{日均成交金额} = \text{总成交金额} / \text{总交易日数}$$

21. 总成交股数 = \sum A 股总成交股数 + \sum B 股总成交股数。

$$\text{日均成交股数} = \text{总成交股数} / \text{总交易日}$$

其它成交数量 除股票外的成交量,单位:期货为口,债券为手,其它为张

22. 股票换手率 = \sum (股票每日成交股数/流通股数)。

23. 成交手数 股票、权证、基金交易单位每手 1 股(张),债券每手 10 张。

24. 成交笔数 :由交易系统完成配对交易的记录数。

25. 分红派息 :指 1998 年内上市公司根据分红派息方案派发的红股和股息。

26. 配股 :1998 年内上市公司的配股活动。配股集资金额 = 配股价 \times 实际配股数。

27. 内部职工股 :1998 年度内部职工股的上市情况。

28. 停牌情况 :1998 年内发生的证券停牌及原因。

29. 集资金额 :包括上市公司发行集资和配股集资。

30. 分类统计 :将上市公司分为工业、商业、金融、地产、公用事业和综合企业六类,分别进行统计。

Notes to Statistics Indicators

1. *Specification of the Fact Book* :Transaction turnover and volume are calculated on an unilateral basis , while trading turnover and volume are counted on a bilateral basis. Accordingly , total trading turnover is twice as much.

2. *Unit* :Except for special notes , calculation unit of turnover shall be RMB million. B share turnover is calculated after converting Hong Kong dollar into Renminbi. Calculation unit of trading volume of equities and funds is one million , while that of TB futures and TB repurchase is one contract and one board lot , respectively.

3. *Products Included* :All the securities listed on the Exchange or traded on the Exchange via computer link. These securities include equities (Class A & B shares) , funds , warrants , rights (A- share rights , A1 rights , A2 rights and B- share rights) , corporate bonds (including convertible bond) and treasuries (treasuries cash , repurchase and futures) .

4. *Contents* :Transaction of all the securities listed on the Exchange , participation of investors , information and performance of member brokers.

5. *Covered Period* : January 1 — December 31 , 1998. Related information from 1991 to 1997 is added for reference.

6. *Statistics Classification* :Number of securities , members , stock capital , market value , P/E ratio , stock prices and stock price indices are the month-end or year-end figures , not timeaddable. Traded value and volume are the year or month figures and are cumulative of the virtual figures in the trading days in the related time period.

7. *Trading of Odd Lot Shares* :Odd lot shares transaction is included in the trading statistics of the month or year , but the number of their trading days are excluded in the total number of trading days.

8. *Rounding-off Error* :Calculation is conducted on an end cut or rounding off basis. Due to the rounding off error , the figures of all the indicators added may not equal to the total amount.

9. *Number of Listed Companies* :The figure of the number of listed companies refers to the total number of companies whose stocks are listed on the Exchange.

10. *Number of Listed Securities* :The figure of the number of listed securities refers to the total number of securities listed on the Exchange or traded on the Exchange via computer link.

11. *Number of Members* :The figure of the number of members refers to the total number of member brokers of the Exchange.

12. *Seats Number* :The figure of the number of seats refers to the total number of trading seats include those of the members and special trading seats of non-member traders such as TB seats , B share seats and seats of securities centres linked to the Exchange.

13. *Issued Capital Stock* : outstanding capital stock of A and B shares , excluding that of H shares.

Total capital stock = \sum capital stock of A shares + \sum capital stock of B shares

14. *Listed Par Value* : gross par value of securities other than equities listed on the Exchange or traded on the Exchange via computer link.

Total listed par value = \sum par value of corporate bonds + \sum number of fund units + \sum number of warrants and rights

15. *Total Market Value* : Market value of equities = \sum total issued capital stock \times closing price
 Market value of other securities = \sum total listed par value \times closing price/par value of each

16. *Average P/E Ratio* : average P/E ratio of equities only.

$$\begin{aligned} \text{Average P/E ratio} &= \frac{\sum \text{total market value of equities}}{\sum \text{gross profit earnings of equities}} \\ &= \frac{\sum (\text{closing prices} \times \text{total capital stock})}{\sum (\text{PSE} \times \text{total capital stock})} \end{aligned}$$

17. *Change of Securities Prices*

$$= \left(\frac{\text{closing price of the month or year}}{\text{closing price of the previous month or year} \times \text{adjusted factors}} - 1 \right) \times 100\%$$

$$\text{Adjusted factors} = \frac{\text{ex-right price}}{\text{closing price on the registration date of share right}}$$

Ex-right price =

$$\frac{\text{closing price on the registration date of share right} - \text{dividend} + \text{share placing price} \times \text{share placing ratio}}{1 + \text{bonus ratio} \times \text{share placing ratio}}$$

18. *Stock Price Indices* : composite stock price indices and component stock price indices. Closing indices are the month-end or year-end indices. The high and low refer to the high and low of closing indices.

19. *Trading Days* : Trading days of both A and B shares except for those of odd lot shares. Total number of trading days = Whichever market (A shares or B shares) has more number of trading days.

20. *Total Transaction Turnover* : turnover of equities + of funds + of corporate bonds + of treasuries.

Cumulative transaction turnover of the year = \sum daily transaction turnover of the year including that of the odd lot shares

$$\text{Average daily transaction turnover} = \frac{\text{gross transaction turnover}}{\text{total number of trading days}}$$

21. *Total Transaction Volume* = \sum Gross transaction volume of A shares + \sum gross transaction volume of B shares

$$\text{Daily transaction volume} = \frac{\text{gross transaction volume}}{\text{total number of trading days}}$$

Transaction volume of other securities : transaction volume of securities other than equities. Trading unit of TB futures , bonds and rights is one contract , one board lot and one right , respectively.

$$22. \text{Stock Liquidity} = \sum \left(\frac{\text{daily transaction volume}}{\text{number of tradable shares}} \right) \times 100\%$$

23. *Minimum Trading Unit* : a minimum of 1 is required of equities , rights and funds , compared with that of 10 for bonds.

24. *Number of Deals* : The figure of number of deals refers to the total number of transactions completed via the trading systems of the Exchange.

25. *Dividend Distribution and Bonus Payment* : Dividend and bonus distributed and paid by the listed companies in 1998 in line with their respective plans.

26. *Share Placement* : Share placing activities conducted by the listed companies in 1998. Fund raised via share placement = placing price \times actual number of shares placed.

27. *Staff Shares* : Listings of staff shares in 1998.

28. *Suspension* : suspension of securities in 1998 and the causes of suspension.

29. *Amount of Money Raised* : The figure refers to the total amount of fund raised in the public stock issues and share placement conducted by the listed companies.

30. *Classification of Equities* : Listed companies are divided into 6 sectors : industrials , commercials , financials , properties , utilities and conglomerate.

一、历年市场概貌

HISTORICAL MARKET OVERVIEW

(一) 市场统计记录

HISTORICAL STATISTICAL MARKET RECORDS

1、一九九八年市场概况

MARKET OVERVIEW, 1998

单位:百万元 RMB Mil.

		1998年 1998	比1997年变动 Change	比1997年变动% Change%
深证综合指数	SZSE Composite Index	343.8526	-37.4392	-9.82
深证成份指数	SZSE Comp. Subindex	2949.3171	-1235.5273	-29.52
上市公司数目	Listed Companies	413	51	14.09
上市证券数目	Listed Securities	483	54	12.59
股票	Stocks	454	55	13.78
A股	A-shares	400	52	14.94
B股	B-shares	54	3	5.88
股票总发行股本	Total Issued Capital	106,500.58	26,914.88	33.82
A股	A-Shares	99,785.60	25,948.47	35.14
B股	B-Shares	6,714.99	966.42	16.81
股票总流通股本	Total Listed Capital	36,121.44	8,615.13	31.32
A股	A-Shares	30,152.98	7,623.15	33.84
B股	B-Shares	5,968.46	991.99	19.93
股票市价总值	Market Capitalization	887,973.11	56,856.05	6.84
A股	A-Shares	877,391.40	65,217.36	8.03
B股	B-Shares	10,581.71	-8,361.31	-44.14
股票流通市值	Float Market Capitalization	279,814.52	10,719.11	3.98
A股	A-Shares	270,310.00	17,487.42	6.92
B股	B-Shares	9,504.52	-6,768.30	-41.59
平均市盈率	Average P/E Ratio	30.59	-9.27	-23.26
A股	A-Shares	32.31	-10.35	-24.26
B股	B-Shares	5.71	-4.96	-46.49
会员总数	Number of Members	329	-44	-11.80
交易单元数	No. of Trading Unit	1,505	162	12.06
总开户数(万户)	No. of Investors(10,000)	1911.72	291.70	15.26
总交易日	Trading Days	246	3	1.23
股票基金成交额	Turnover of Stocks & Funds	1,156,975.62	-597,729.03	-34.06
股票	Stocks	1,115,814.42	-580,051.75	-34.20
A股	A-shares	1,111,349.46	-563,147.64	-33.63
B股	B-shares	4,464.96	-16,904.11	-79.11
基金	Funds	41,161.20	-17,677.28	-30.04
日均成交金额	Daily Average Turnover	4,703.15	-2,517.86	-34.87
股票集资金额	Funds Raised by Listings	36,645.77	-11,290.02	-23.56

2. 成交总值(一九九一至一九九八年)

TURNOVER, 1991—1998

单位:百万元 RMB Mil.

年份 Year	成交金额 Turnover	交易日数 Trading Days	日均成交 Daily Avg.	最高成交日 High		最低成交日 Low	
				成交额	日期	成交额	日期
				Turnover	Date	Turnover	Date
1991	3 559.52	301	11.83	110.42	11/13/91	0.00	04/22/91
1992	43 828.58	257	170.54	514.16	12/01/92	11.39	02/02/92
1993	137 810.17	259	532.09	2 275.74	11/18/93	112.18	09/28/93
1994	308 050.89	252	1 222.42	8 286.23	09/06/94	3.13	07/07/94
1995	894 427.00	244	3 665.68	29 894.74	05/17/95	122.11	07/04/95
1996	1 394 934.21	247	5 647.51	21 195.96	11/21/96	101.86	02/12/96
1997	1 862 796.96	243	7 665.83	23 292.45	05/07/97	1 328.94	10/07/97
1998	1 196 497.60	246	4 863.81	10 582.21	05/11/98	1 548.54	12/31/98
合计 Total	5 841 904.93	2 049	2 851.10				

3. 成交额最高纪录(一九九一至一九九八年)

RECORD HIGH TURNOVER, 1991—1998

月 Month			日 Date		
顺次 Rank	成交金额(百万元) Turnover(RMB Mil)	月份/年 Month/Year	顺次 Rank	成交金额(百万元) Turnover(RMB Mil)	月/日/年 M/D/Y
1	308 965.64	11/96	1	29 894.73	05/17/95
2	284 680.82	04/97	2	25 043.41	05/11/95
3	271 503.21	05/97	3	24 029.22	05/05/95
4	260 306.46	10/96	4	23 573.50	05/12/95
5	258 148.43	03/95	5	23 342.12	03/29/95
6	249 145.14	03/97	6	23 292.45	05/07/97
7	234 654.56	12/96	7	23 009.40	05/12/97
8	227 149.20	05/95	8	22 763.53	05/08/97
9	181 697.28	06/97	9	22 298.52	04/27/95
10	173 197.31	04/98	10	22 135.15	05/06/97

4、深圳综合指数十大升跌纪录(一九九一至一九九八年)

TOP 10 RISES AND FALLS, 1991—1998

顺次 Rank	升幅率 + %	日期 Date	收市指数 Closing Index
1	31.2894	08/01/94	126.7731
2	28.2848	05/18/95	150.3323
3	19.2671	10/09/91	77.7551
4	18.6514	09/05/94	206.0375
5	18.3280	10/08/91	65.1946
6	18.2046	11/19/91	123.1688
7	17.2248	11/12/91	128.3874
8	15.2929	08/03/94	143.7919
9	12.4270	08/24/93	286.0026
10	12.0214	04/13/92	185.5691

顺次 Rank	升幅 +	日期 Date	收市指数 Closing Index
1	33.1459	05/18/95	150.3323
2	32.3880	09/05/94	206.0375
3	31.6130	08/24/93	286.0026
4	30.2130	08/01/94	126.7731
5	29.5180	02/01/93	309.9688
6	26.7350	08/16/93	320.7991
7	26.2339	06/20/97	426.8106
8	26.3009	10/17/96	348.9838
9	23.4560	06/17/92	257.1828
10	23.3967	12/30/96	341.7375

顺次 Rank	跌幅率 - %	日期 Date	收市指数 Closing Index
1	-18.6276	11/18/91	104.1995
2	-17.2073	05/23/95	140.4642
3	-14.8359	08/17/91	50.1489
4	-12.6554	10/05/94	164.4285
5	-11.7987	08/17/93	282.9495
6	-10.0372	11/16/92	170.8159
7	-9.9974	12/16/96	393.2102
8	-9.9718	12/17/96	354.0001
9	-9.7544	02/18/97	322.6335
10	-9.6727	05/22/97	401.3261

顺次 Rank	跌幅 -	日期 Date	收市指数 Closing Index
1	-45.3508	05/16/97	443.5001
2	-43.6773	12/16/96	393.2102
3	-42.9760	05/22/97	401.3261
4	-39.2101	12/17/96	354.0001
5	-37.8500	08/17/93	282.9495
6	-34.8726	02/18/97	322.6335
7	-31.6621	12/19/96	338.6730
8	-31.2013	07/07/97	358.5794
9	-30.0858	06/06/97	417.8400
10	-29.7524	05/08/97	476.8596

(二)历年市场概貌

YEARLY MARKET OVERVIEW

1、上市证券(年底数字)

LISTED SECURITIES (Year-end Figures)

		1991	1992	1993	1994	1995	1996	1997	1998
上市公司数目	Listed Companies	6	24	77	120	135	237	362	413
上市证券数目	Listed Securities	7	39	105	212	192	299	429	483
股票	Stocks	6	33	95	142	161	270	399	454
A股	A-shares	6	24	76	118	127	227	348	400
B股	B-shares		9	19	24	34	43	51	54
公司债券	Corporate Bonds	1	5	8	6	1	1	2	2
可转换债券	Convertible Bonds			1	1	1			1
国债	Treasury Bonds				40	13	18	18	16
现货	Cash				5	6	9	9	7
期货	Futures				19				
回购	Repurchase				16	7	9	9	9
基金	Funds				8	10	10	10	10
权证	Warrants and Rights		1	1	15	6			
认股权证	Warrants		1						
A1权	Rights A1				6				
A2权	Rights A2				9	6			
B权	Rights B			1					
股票总发行股本 (百万股)	Total Issued Capital (Mil. Shs.)	357.34	2,656.51	12,205.97	22,059.11	26,738.89	43,954.28	79,585.70	106,500.58
A股	A-Shares	357.34		11,151.57	20,500.18	24,048.10	39,947.94	73,837.13	99,785.60
B股	B-Shares			1,054.40	1,558.93	2,690.79	4,006.34	5,748.57	6,714.99
股票总流通股本 (百万股)	Total Listed Capital (Mil. Shs.)	243.51	854.71	3,618.96	7,757.21	10,513.15	15,875.85	27,506.31	36,121.44
A股	A-Shares	243.51	681.21	2,998.85	6,759.96	8,378.31	12,576.94	22,529.83	30,152.98
B股	B-Shares		173.50	620.12	997.26	2,134.85	3,298.91	4,976.47	5,968.46
其它上市面额 (百万元)	Total Listed Par (Mil. RMB¥)			768.00	2,752.83	2,807.74	2,157.94	5,557.94	7,557.94
公司债券	Corporate Bonds			268.00	148.00	100.00	100.00	3,500.00	3,500.00
可转换债券	Convertible Bonds			500.00	500.00	500.00			200.00
国债	Treasury Bonds								
现货	Cash								
期货	Futures								
回购	Repurchase								
基金 (百万张)	Funds (Mil.)				1,834.93	2,057.94	2,057.94	2,057.94	3,857.94
权证	Warrants and Rights				269.90	149.80			
认股权证	Warrants								
A1权	Rights A1				131.70				
A2权	Rights A2				138.20	149.80			
B权	Rights B								
股票市价总值 (百万元)	Market Capitalization (Mil. RMB¥)	7,976.25	48,974.54	133,532.45	109,048.70	94,862.11	436,457.35	831,117.06	887,973.11
A股	A-Shares	7,976.25	45,753.72	125,101.30	103,249.72	87,686.52	413,242.65	812,174.04	877,391.40
B股	B-Shares		3,220.82	8,431.15	5,798.98	7,175.59	23,214.70	18,943.02	10,581.71
股票流通市值 (百万元)	Float Capitalization (Mil. RMB¥)	3,767.34	17,064.07	43,768.98	38,193.87	35,122.49	145,829.24	269,095.41	279,814.52
A股	A-Shares	3,767.34	15,725.82	38,863.15	34,350.95	29,589.05	126,695.49	252,822.58	270,310.00
B股	B-Shares		1,338.25	4,905.83	3,842.92	5,533.44	19,133.76	16,272.82	9,504.52

2、成交情况

TRANSACTION DATA

		1991	1992	1993	1994	1995	1996	1997	1998
总交易日	Trading Days	301	257	259	252	244	247	243	246
A股	A-Shares	301	257	259	249	244	247	243	246
B股	B-Shares		209	243	242	235	238	235	238
总成交金额 (百万元)	Total Turnover (Mil. RMB ¥)	3,559.52	43,828.58	137,810.17	308,050.89	894,427.00	1,394,934.21	1,862,796.96	1,196,497.60
股票	Stocks	3,530.06	43,407.54	128,666.76	239,255.49	93,299.43	1,221,735.65	1,695,866.17	1,115,814.42
A股	A-shares	3,530.06	41,744.35	126,087.09	237,635.24	91,595.70	1,203,205.27	1,674,497.10	1,111,349.46
B股	B-shares		1,663.19	2,579.67	1,620.26	1,703.73	18,530.38	21,369.07	4,464.96
公司债券	Corporate Bonds		13.26	3.20	0.03	23.04	29.97	257.78	936.73
可转换债券	Convertible Bonds			2,433.03	991.60	676.85			2,030.17
国债	Treasury Bonds				33,667.20	773,237.85	63,634.70	107,825.18	36,554.98
现货	Cash				1,109.88	1,075.55	6,686.59	11,434.57	1,324.98
期货	Futures				31,294.37	764,470.22			
回购	Repurchase				1,262.95	7,692.08	56,948.12	96,390.61	35,230.00
基金	Funds				24,015.61	20,451.65	106,912.30	58,838.48	41,161.20
权证	Warrants & Rights	29.46	407.78	6,707.18	10,120.96	6,738.17	2,621.59	9.35	0.11
认股权证	Warrants	29.46	407.78	4,735.58					
A1权	Rights A1			1,971.48	8,770.63	1,272.51			
A2权	Rights A2				1,350.18	5,465.66	2,621.26		
B权	Rights B			0.12	0.15		0.33	9.35	0.11
日均成交金额	Daily Avg. Turnover	11.83	170.54	532.09	1,222.42	3,665.68	5,647.51	7,665.83	4,863.81
总成交股数 (百万股)	Total Volume (Mil. Shs.)	299.58	1,911.99	7,914.66	35,657.88	19,148.06	143,126.06	134,433.70	102,615.07
A股	A-shares	299.58	1,766.39	7,548.57	35,369.65	18,656.67	139,092.71	130,528.61	100,708.15
B股	B-shares		145.60	366.09	288.23	491.38	4,033.35	3,905.10	1,906.92
日均成交股数	Daily Average Volume	1.00	7.44	30.56	141.50	78.48	579.46	553.23	417.13
其它成交数量	Turnover of Others								
公司债券(张)	Corporate Bonds		116,640	31,550	330	238,400	282,420	2,281,200	7,763,910
转债(百万张)	Convertible Bonds			1,880.32	1,156.53	709.05			8.33
国债	Treasury Bonds								
现货(手)	Cash				1,037,270	1,009,776	6,370,546	10,972,005	1,165,705
期货(口)	Futures				2,372,995	46,316,381			
回购(手)	Repurchase				1,262,620	7,530,077	56,948,117	96,390,612	35,229,999
基金(百万)	Funds				18,115.64	13,458.83	34,628.51	17,315.33	22,574.92
权证(百万张)	Warrants & Rights	1.19	4.34	832.02	4,898.16	5,646.53	2,351.66	77.89	3.53
认股权证	Warrants	1.19	4.34	429.04					
A1权	Rights A1			402.36	4,547.67	870.74			
A2权	Rights A2				349.92	4,775.79	2,344.08		
B权	Rights B			0.62	0.56		7.59	77.89	3.53

2、成交情况(续)

TRANSACTION DATA (Continued)

		1991	1992	1993	1994	1995	1996	1997	1998
总成交笔数	Total No. of Deals			32 810 186	23 079 887	142 352 343	196 992 845	154 408 028	
股票	Stocks			28 686 347	16 726 878	128 165 911	188 794 648	147 549 001	
A股	A-shares			28 673 825	16 698 119	127 249 292	187 900 556	147 156 935	
B股	B-shares			12 522	28 759	916 619	894 092	392 066	
公司债券	Corporate Bonds			3	1 846	2 368	2 145	6 047	
可转换债券	Convertible Bonds			80 587	36 721			172 571	
国债	Treasury Bonds			16 706	909 972	41 649	31 136	11 912	
现货	Cash			1 452	7 376	26 987	21 774	8 623	
期货	Futures			15 090	897 910				
回购	Repurchase			164	4 686	14 662	9 362	3 289	
基金	Funds			2 578 412	3 101 249	13 195 056	8 152 902	6 668 247	
权证	Warrants & Rights			1 448 131	2 303 221	947 359	12 014	250	
认股权证	Warrants								
A1权	Rights A1			1 292 916	506 282				
A2权	Rights A2			155 174	1 796 939	946 130			
B权	Rights B			41		1 229	12 014	250	
日均成交笔数	Daily Average Deals			130 199	94 590	576 325	810 670	627 675	

3、股价指数

STOCK PRICE INDICES

		1991	1992	1993	1994	1995	1996	1997	1998
深证综合指数	SZSE Composite Index								
最高	High	136.9353	312.2060	359.4403	242.0553	169.6577	473.0215	517.9073	441.0405
最低	Low	45.6585	107.0830	203.9103	96.5606	112.6292	105.3415	305.8060	317.0971
收市	Close	110.3688	241.2055	238.2756	140.6341	113.2487	327.3417	381.2918	343.8526
深证A股指数	SZSE A-Shares Index								
最高	High		285.8364	379.0684	249.7096	176.7710	498.4544	546.0150	471.9940
最低	Low		169.0364	214.8934	95.2609	116.3245	107.9327	319.2467	340.9975
收市	Close		255.0482	245.6939	143.7261	117.0174	341.8100	406.4521	370.1240
深证B股指数	SZSE B-Shares Index								
最高	High		142.0293	185.4458	142.8507	86.5851	198.1383	184.1926	98.9730
最低	Low		105.7204	80.6326	85.0718	59.3993	58.9686	92.7944	49.8845
收市	Close		111.8660	141.4373	86.6598	59.4812	145.4814	98.9684	53.5809
深证成份指数	SZSE Comp. Subindex								
最高	High				1415.2349	4501.9194	6019.2251	4317.7145	
最低	Low				982.8026	930.0575	3063.9908	2946.2662	
收市	Close				987.7545	3215.8260	4184.8444	2949.3171	
深圳基金指数	SZSE Fund Subindex								
最高	High					3485.3421	3219.8104	1692.9445	
最低	Low					948.9448	1347.3774	1066.3638	
收市	Close					2249.6487	1380.3633	1178.0856	

4、股票市盈率(年底数字)

P/E RATIO (Year-end Figures)

		1991	1992	1993	1994	1995	1996	1997	1998
平均市盈率	Average P/E Ratio			42.69	10.37	9.35	35.42	39.86	30.59
A 股	A-Shares	26.71	57.52	44.21	10.67	9.80	38.88	42.66	32.31
B 股	B-Shares		35.56	20.11	7.02	6.01	14.07	10.67	5.71

5、会员(年底数字)

MEMBERSHIPS (Year-end Figures)

		1991	1992	1993	1994	1995	1996	1997	1998
会员总数	Number of Members	15	177	426	496	532	542	373	329
本地	Local			28	30	34	34	24	15
外地	Nonlocal			398	466	498	508	349	314
进场会员数	Floor-trading Members			280	370	410	436	334	295
本地	Local			28	28	28	30	18	14
外地	Nonlocal		16	252	342	382	406	316	281
交易单元数	No. of Trading Unit			348	782	887	1,125	1,343	1,505

6、投资者(年底数字)

INVESTORS (Year-end Figures)

		1991	1992	1993	1994	1995	1996	1997	1998
A 股总户数 (百万)	No. of A-Share Investors (Mil.)	0.26	1.05	3.53	4.83	5.55	10.90	16.10	19.01
本地	Local	0.26	0.83	1.53	1.66	1.74	2.00	2.13	2.20
外地	Nonlocal		0.22	2.01	3.17	3.81	8.90	13.96	16.81
B 股总户数 (万)	No. of B-Share Investors (10,000)		0.37	0.88	1.10	2.23	9.36	10.25	10.63

(三)一九九八年各月市场概貌

MONTHLY MARKET OVERVIEW, 1998

1、上市证券(月底数字)

LISTED SECURITIES (Month-end Figures)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
上市公司数目	Listed Companies	365	367	370	377
上市证券数目	Listed Securities	433	434	438	446
股票	Stocks	403	406	410	417
A股	A-shares	352	355	358	363
B股	B-shares	51	51	52	54
公司债券	Corporate Bonds	2	2	2	2
可转换债券	Convertible Bonds				
国债	Treasury Bonds	18	16	16	16
现货	Cash	9	7	7	7
期货	Futures				
回购	Repurchase	9	9	9	9
基金	Funds	10	10	10	11
权证	Warrants and Rights				
认股权证	Warrants				
A1权	Rights A1				
A2权	Rights A2				
B权	Rights B				
股票总发行股本 (百万股)	Total Issued Capital (Mil. Shs.)	81,838.21	83,132.73	83,746.31	86,233.44
A股	A-Shares	76,004.91	77,253.43	77,779.80	80,061.93
B股	B-Shares	5,833.30	5,879.30	5,966.51	6,171.51
股票总流通股本 (百万股)	Total Listed Capital (Mil. Shs.)	28,021.46	28,372.72	28,761.89	29,698.43
A股	A-Shares	22,969.62	23,274.32	23,500.46	24,232.00
B股	B-Shares	5,051.84	5,098.41	5,261.43	5,466.43
其它上市面额 (百万元)	Total Listed Par (Mil. RMB¥)	5,557.94	5,557.94	5,557.94	7,557.94
公司债券	Corporate Bonds	3,500.00	3,500.00	3,500.00	3,500.00
可转换债券	Convertible Bonds				
国债	Treasury Bonds				
现货	Cash				
期货	Futures				
回购	Repurchase				
基金 (百万张)	Funds (Mil.)	2,057.94	2,057.94	2,057.94	4,057.94
权证	Warrants and Rights				
认股权证	Warrants				
A1权	Rights A1				
A2权	Rights A2				
B权	Rights B				
股票市价总值 (百万元)	Market Capitalization (Mil. RMB¥)	853,096.14	844,443.96	860,900.51	965,030.45
A股	A-Shares	836,707.69	825,493.04	842,730.89	947,921.90
B股	B-Shares	16,388.45	18,950.92	18,169.61	17,108.55
股票流通市值 (百万元)	Float Capitalization (Mil. RMB¥)	273,664.29	271,368.86	276,762.10	305,443.87
A股	A-Shares	259,490.38	255,099.07	260,627.07	290,271.79
B股	B-Shares	14,173.91	16,269.79	16,135.03	15,172.07

五月	六月	七月	八月	九月	十月	十一月	十二月
May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
384	394	395	398	401	405	409	413
453	463	464	467	472	476	480	483
424	434	435	438	442	446	450	454
370	380	381	384	388	392	396	400
54	54	54	54	54	54	54	54
2	2	2	2	2	2	2	2
				1	1	1	1
16	16	16	16	16	16	16	16
7	7	7	7	7	7	7	7
9	9	9	9	9	9	9	9
11	11	11	11	11	11	11	10
91,751.34	96,108.44	97,841.06	99,564.78	101,725.02	104,287.02	105,821.78	106,500.58
85,579.83	89,689.49	91,378.85	93,039.79	95,200.03	97,762.03	99,106.80	99,785.60
6,171.51	6,418.95	6,462.21	6,524.99	6,524.99	6,524.99	6,714.99	6,714.99
30,841.68	32,077.35	32,707.11	33,368.67	34,268.94	35,004.28	35,843.70	36,121.44
25,375.25	26,405.61	26,991.98	27,590.41	28,490.69	29,226.02	29,875.21	30,152.98
5,466.43	5,671.74	5,715.12	5,778.26	5,778.26	5,778.26	5,968.48	5,968.46
7,557.94	7,557.94	7,557.94	7,557.94	7,757.94	7,757.94	7,757.94	7,557.94
3,500.00	3,500.00	3,500.00	3,500.00	3,500.00	3,500.00	3,500.00	3,500.00
				200.00	200.00	200.00	200.00
4,057.94	4,057.94	4,057.94	4,057.94	4,057.94	4,057.94	4,057.94	3,857.94
1,046,827.99	986,427.83	972,099.73	855,025.27	930,194.59	937,681.87	960,242.57	887,973.11
1,028,490.49	970,551.83	960,023.29	844,711.64	917,486.30	925,817.05	948,701.00	877,391.40
18,337.49	15,876.01	12,076.45	10,313.63	12,708.29	11,864.82	11,541.56	10,581.71
326,828.30	306,875.31	302,465.51	268,126.87	292,692.28	293,394.89	302,390.24	279,814.52
310,741.46	292,875.17	291,806.63	258,991.76	281,416.23	282,890.87	292,071.64	270,310.00
16,086.84	14,000.14	10,658.89	9,135.11	11,276.06	10,504.02	10,318.60	9,504.52

2、成交情况

TRANSACTION DATA

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
总交易日	Trading Days	15	15	22	22
A 股	A-Shares	15	15	22	22
B 股	B-Shares	15	15	22	19
总成交金额	Total Turnover	93,430.27	57,140.81	78,317.86	173,197.31
(百万元)	(Mil. RMB ¥)				
股票	Stocks	90,463.81	54,530.63	75,229.22	161,663.28
A 股	A-shares	90,090.10	54,090.74	74,841.94	161,240.09
B 股	B-shares	373.70	439.89	387.27	423.19
公司债券	Corporate Bonds	69.86	117.75	83.25	203.75
可转换债券	Convertible Bonds				
国债	Treasury Bonds	827.28	811.72	981.37	763.13
现货	Cash	155.30	108.24	230.75	134.74
期货	Futures				
回购	Repurchase	671.98	703.47	750.63	628.39
基金	Funds	2,069.32	1,680.72	2,024.02	10,567.16
权证	Warrants and Rights				
认股权证	Warrants				
A1 权	Rights A1				
A2 权	Rights A2				
B 权	Rights B				
日均成交金额	Daily Average Turnover	6,228.68	3,809.39	3,559.90	7,872.60
总成交股数	Total Volume	7,748.73	5,066.63	6,774.28	14,152.90
(百万股)	(Mil. Shs.)				
A 股	A-shares	7,625.11	4,938.74	6,658.87	13,995.61
B 股	B-shares	123.62	127.90	115.41	157.29
日均成交股数	Daily Average Volume	516.58	337.78	307.92	643.31
其它成交数量	Turnover of Others				
公司债券(张)	Corporate Bonds	596,620	985,870	688,860	1,695,810
转债(百万张)	Convertible Bonds				
国债	Treasury Bonds				
现货(手)	Cash	137,501	103,555	217,559	125,539
期货(口)	Futures				
回购(手)	Repurchase	671,982	703,473	750,627	628,385
基金(百万)	Funds	1,008.68	801.24	993.48	5,970.99
权证(百万张)	Warrants and Rights				
认股权证	Warrants				
A1 权	Rights A1				
A2 权	Rights A2				
B 权	Rights B				

五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
20	22	23	21	22	20	21	23
20	22	23	21	22	20	21	23
20	22	22	20	22	18	21	22
165 507.05	124 031.34	88 567.04	72 063.79	96 894.58	86 201.46	105 850.01	55 296.09
155 468.11	115 381.43	79 528.25	67 270.69	89 670.81	78 782.64	101 877.51	45 948.06
154 577.42	115 080.89	79 232.72	66 984.90	89 326.90	78 549.32	101 700.29	45 634.15
890.69	300.54	295.53	285.79	343.91	233.32	177.22	313.91
137.30	127.51	73.03	101.94	5.97	3.79	7.61	4.98
				598.90	492.77	370.84	567.65
1 272.89	5 436.13	7 087.23	3 006.82	3 286.15	4 461.00	1 591.39	7 029.86
127.76	187.53	84.99	225.58	12.94	14.35	8.34	34.45
1 145.13	5 248.60	7 002.24	2 781.25	3 273.21	4 446.65	1 583.05	6 995.41
8 628.75	3 086.27	1 878.42	1 684.34	3 332.75	2 461.25	2 002.66	1 745.53
		0.11					
		0.11					
8 275.35	5 637.79	3 850.74	3 431.61	4 404.30	4 310.07	5 040.48	2 404.18
13 832.45	9 831.07	6 987.42	6 714.16	9 132.11	7 969.64	9 617.02	4 788.66
13 430.22	9 717.07	6 856.79	6 524.55	8 920.25	7 844.26	9 533.04	4 663.64
402.23	114.00	130.64	189.61	211.86	125.38	83.98	125.01
691.62	446.87	303.80	319.72	415.10	398.48	457.95	208.20
1 151 430	1 073 880	583 970	810 120	47 480	30 080	61 020	38 770
				2.95	2.29	1.25	1.85
109 620	158 096	70 012	184 235	10 982	13 255	7 467	27 884
1 145 126	5 248 600	7 002 240	2 781 246	3 273 207	4 446 653	1 583 052	6 995 408
4 124.60	1 643.59	1 077.22	1 112.72	2 006.78	1 448.42	1 228.05	1 159.16
		3.53					
		3.53					

2、成交情况(续)

TRANSACTION DATA (Continued)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
总成交笔数	Total No. of Deals	12,399,286	7,932,366	10,894,941	21,916,296
股票	Stocks	12,020,146	7,617,086	10,458,511	20,512,246
A股	A-shares	11,988,129	7,584,525	10,428,971	20,480,458
B股	B-shares	32,017	32,561	29,540	31,788
公司债券	Corporate Bonds	439	734	546	443
可转换债券	Convertible Bonds				
国债	Treasury Bonds	1,274	992	1,481	1,292
现货	Cash	1,109	838	1,372	1,152
期货	Futures				
回购	Repurchase	165	154	109	140
基金	Funds	377,427	313,554	434,403	1,402,315
权证	Warrants and Rights				
认股权证	Warrants				
A1权	Rights A1				
A2权	Rights A2				
B权	Rights B				
日均成交笔数	Daily Average Deals	826,619	528,824	495,225	996,195

3、股价指数(月底数字)

STOCK PRICE INDICES (Month-end Figures)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
深证综合指数	SZSE Composite Index	383.6599	374.4058	378.8425	413.2713
深证A股指数	SZSE A-Shares Index	410.4429	399.0601	404.3677	442.2919
深证B股指数	SZSE B-Shares Index	84.6936	97.9400	93.1004	85.8601
深证成份指数	SZSE Comp. Subindex	4165.2841	4081.6931	4063.2887	4180.9521
成份A股指数	A Share Subindex	4837.3565	4693.7426	4684.7132	4836.8343
成份B股指数	B Share Subindex	622.4225	748.8159	708.9503	679.9925
工业分类指数	Industrial Subindex	4135.5912	3970.7130	3957.2731	4206.8779
商业分类指数	Commercial Subindex	4280.1790	4375.2298	4244.2211	4426.2752
金融分类指数	Financial Subindex	9796.3069	9597.6759	9115.8904	8815.8310
地产分类指数	Property Subindex	1966.1301	1857.6832	1908.6637	1913.6317
公用事业指数	Utility Subindex	3617.9257	3472.6907	3555.4244	3610.5059
综合企业指数	Cong. Subindex	3676.1240	3679.4058	4101.6578	4556.1612
深圳基金指数	Fund Subindex	1534.3917	1487.3261	1480.8176	1448.9971

五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
20 569 478	15 465 554	10 248 729	10 051 291	13 266 078	11 307 462	13 518 754	6 837 793
19 429 770	14 929 175	9 902 133	9 698 821	12 528 364	10 820 837	13 137 447	6 494 465
19 371 128	14 901 290	9 870 686	9 657 350	12 482 552	10 796 318	13 119 175	6 476 353
58 642	27 885	31 447	41 471	45 812	24 519	18 272	18 112
540	795	678	530	389	297	358	298
				105 314	28 764	18 627	19 866
1 112	1 348	1 158	685	532	600	484	954
875	945	608	403	367	272	249	433
237	403	550	282	165	328	235	521
1 138 056	534 236	344 510	351 255	631 479	456 964	361 838	322 210
		250					
		250					
1 028 474	702 980	445 597	478 633	603 004	565 373	643 750	297 295

五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
436.3284	403.3627	395.4865	343.7214	371.6883	371.3767	375.7237	343.8526
466.8239	431.9391	425.0671	369.8888	399.2881	399.3460	404.4440	370.1240
92.5863	81.6411	63.3098	54.4337	67.1875	62.7528	58.4448	53.5809
4185.0729	3737.1427	3744.0052	3255.4967	3283.3719	3112.5446	3201.3002	2949.3171
4833.9484	4310.2029	4369.4604	3794.8814	3803.7407	3603.1826	3720.2087	3427.1260
703.6645	648.5979	490.4990	440.7833	520.0660	501.4969	473.4814	436.9101
4266.6106	3914.7894	3977.5792	3417.2428	3428.3507	3261.9586	3313.0222	3026.0405
4845.1414	4382.0297	4288.2154	3534.5067	3739.8299	3547.6332	3548.6861	3163.0500
8481.9620	7235.2363	7294.4030	6821.0699	6664.7008	6292.7962	6706.9627	6275.8914
1890.9059	1722.3580	1734.8175	1384.4498	1384.5514	1363.4475	1385.3380	1293.0779
3516.0601	3048.9606	2981.2870	2507.3234	2598.7536	2405.3113	2495.5504	2290.2438
4658.9034	4069.4549	4300.6167	3666.9866	3728.1430	3452.3908	3626.5924	3356.0987
1577.1046	1404.2114	1313.5155	1156.0496	1219.1537	1263.9019	1238.6271	1178.0856

4、股票市盈率(月底数字)

P/E RATIO (Month-end Figures)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
平均市盈率	Average P/E Ratio	39.69	38.72	39.17	37.14
A 股	A-Shares	42.54	41.31	41.82	39.50
B 股	B-Shares	9.17	10.63	10.18	8.83

5、会员(月底数字)

MEMBERSHIPS (Month-end Figures)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
会员总数	Number of Members	374	374	352	329
本地	Local	24	24	18	18
外地	Nonlocal	350	350	334	311
进场会员数	Floor-trading Members	334	334	325	304
本地	Local	18	18	17	16
外地	Nonlocal	316	316	308	288
交易单元数	No. of Trading Unit	1,344	1,347	1,359	1,383

6、投资者(月底数字)

INVESTORS (Month-end Figures)

		一月	二月	三月	四月
		Jan	Feb	Mar	Apr
A 股总户数(百万)	No. of A-Share Investors(Mil.)	16.24	16.46	16.75	17.22
本地	Local	2.14	2.14	2.15	2.16
外地	Nonlocal	14.10	14.32	14.60	15.06
B 股总户数(万)	No. of B-Share Investors(10,000)	10.27	10.31	10.33	10.37

五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
38.74	36.16	35.34	30.61	33.09	32.68	33.24	30.59
41.05	38.27	37.47	32.41	34.96	34.52	35.12	32.31
9.36	8.28	6.40	5.56	6.87	6.41	6.20	5.71

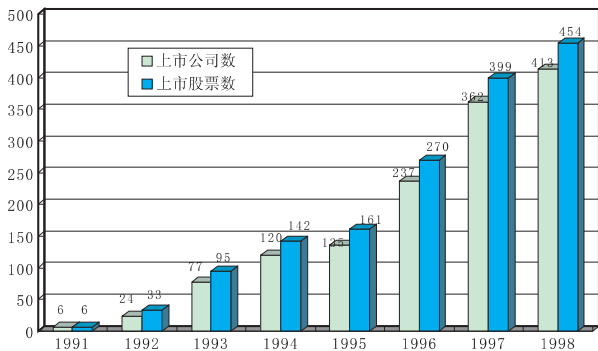
五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
329	322	326	331	335	333	329	329
18	18	18	16	16	16	15	15
311	304	308	315	319	317	314	314
299	294	292	289	296	293	295	295
16	16	15	14	14	14	14	14
283	278	277	275	282	279	281	281
1,395	1,407	1,428	1,454	1,472	1,486	1,496	1,505
243	241	241	229	236	241	240	240
1,032	1,046	1,067	1,105	1,116	1,125	1,136	1,145
77	77	77	77	77	77	77	77
15	15	15	15	15	15	15	15
28	28	28	28	28	28	28	28

五月 May	六月 Jun	七月 Jul	八月 Aug	九月 Sep	十月 Oct	十一月 Nov	十二月 Dec
17.62	18.01	18.30	18.47	18.62	18.75	18.90	19.01
2.17	2.18	2.18	2.19	2.19	2.19	2.20	2.20
15.46	15.83	16.11	16.28	16.43	16.56	16.70	16.81
10.44	10.48	10.51	10.55	10.59	10.60	10.62	10.63

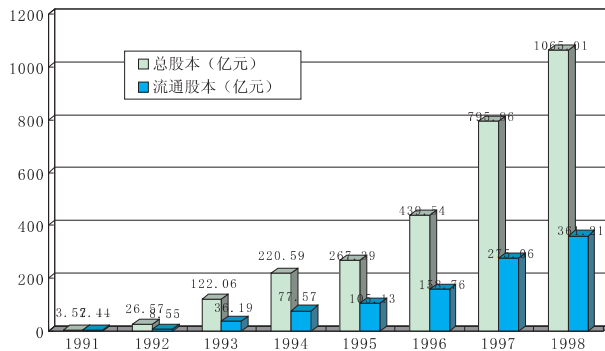
深圳证券市场九年来的发展

SHENZHEN SECURITIES MARKET, 1991—1999

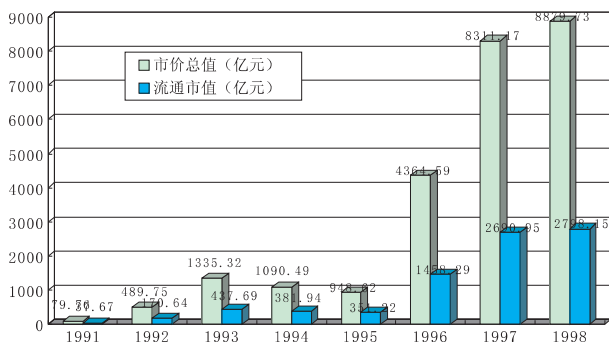
历年上市公司数与上市股票数



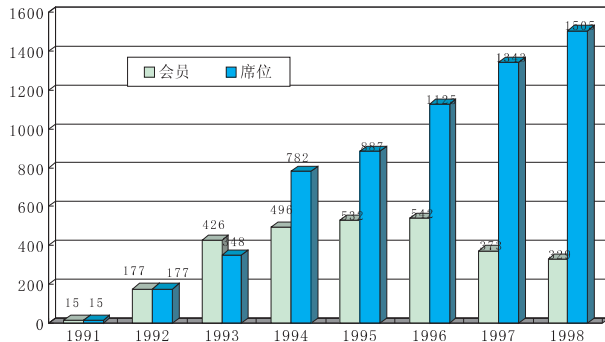
历年上市公司总股本和流通股本



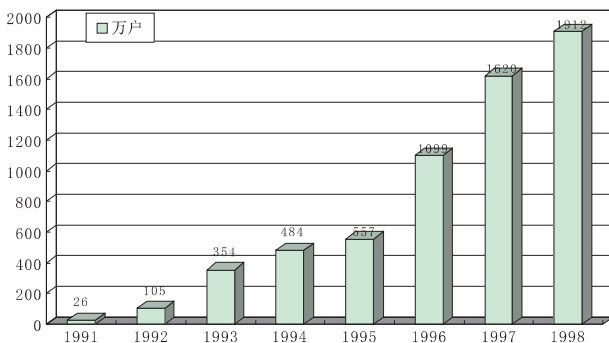
历年上市公司总市值和流通市值



历年会员数和席位数



历年投资者开户数



历年股票、基金成交总额

